

**DECLARATION AND POWER OF ATTORNEY
UNDER 35 USC §371(c)(4) FOR
PCT APPLICATION FOR UNITED STATES PATENT**

As a below named inventor, I hereby declare that:
my residence, post office address and citizenship are as stated below under my name;

I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought, namely the invention entitled: POLYMERISATION CATALYST AND PROCESS described and claimed in international application number PCT/GB97/01589 filed June 12, 1997.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

Under Title 35, U.S. Code §119, the priority benefits of the following foreign application(s) filed within one year prior to my international application are hereby claimed:

Great Britain Application No. 9612265.0 filed June 12, 1996
Great Britain Application No. 9707024.7 filed April 7, 1997

The following application(s) for patent or inventor's certificate on this invention were filed in countries foreign to the United States of America either (a) more than one year prior to my international application, or (b) before the filing date of the above-named foreign priority application(s):

I hereby appoint the following as my attorneys of record with full power of substitution and revocation to prosecute this application and to transact all business in the Patent Office:

⑤ James A. Oliff, Reg. No. 27,075; William P. Berridge, Reg. No. 30,024;
Kirk M. Hudson, Reg. No. 27,562; Thomas J. Pardini, Reg. No. 30,411;
Edward P. Walker, Reg. No. 31,450; Robert A. Miller, Reg. No. 32,771; and
Mario A. Costantino, Reg. No. 33,565.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, P.O. BOX 19928, ALEXANDRIA, VIRGINIA 22320, TELEPHONE (703) 836-6400.

I hereby declare that I have reviewed and understand the contents of this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1	Typewritten Full Name of Sole or First Inventor	1-00		
2	Inventor's Signature	David	Mark	HADDLETON
3	Date of Signature	Given Name	Middle Initial	Family Name
		January 25 th 1999		
	Residence:	Month	Day	Year
		Kenilworth	GB3	GREAT BRITAIN
	Citizenship:	City	State or Province	Country
		GREAT BRITAIN		
	Post Office Address:	3 Whitehead Drive		
	(Insert complete mailing address, including country)	Kenilworth CV8 2TP GREAT BRITAIN		

Note to Inventor: Please sign name on line 2 exactly as it appears in line 1 and insert the actual date of signing on line 3.

Applicant or Patentee: David Mark HADDLETON

Serial or Patent No.: 09/202,096

Attorney Docket No.: 102290

Filed or Issued: Filed: December 9, 1998

For:

POLYMERISATION CATALYST AND PROCESS

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d))-NONPROFIT ORGANIZATION**

I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF CONCERN UNIVERSITY OF WARWICK

ADDRESS OF CONCERN Gibbet Hill Road, Coventry CV4 7AL GREAT BRITAIN

TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AN NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

The nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled:

POLYMERISATION CATALYST AND PROCESS

David Mark HADDLETON

by inventor(s):
described in:

- ☐ the specification filed herewith.
☒ application serial no.

, filed December 9, 1998

- ☐ patent no. _____, issued _____

Rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention confirming their status as small entities (37 CFR 1.27).

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

X TYPEWRITTEN NAME OF PERSON SIGNING

ALAN ROWE

X TYPEWRITTEN ADDRESS OF PERSON SIGNING

RESEARCH AND DEVELOPMENT SERVICES OFFICE,

UNIVERSITY OF WARWICK, COVENTRY, CV4 7AL, UNITED KINGDOM

X SIGNATURE _____

DATE _____

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

David Mark HADDLETON

Application No.: 09/202,096

Filed: February 1, 1999

Docket No.: 102290

For: POLYMERISATION CATALYST AND PROCESS

**SUBMISSION OF ORIGINAL DECLARATION AND SMALL ENTITY
STATEMENT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is the original signed Declaration and Small Entity Statement of the Inventor. This Declaration and Small Entity Statement correspond to the facsimile copies of the Declaration and Small Entity Statement filed on February 1, 1999.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/kmg

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400